

**SCHEDULE B – M/WBE Utilization Plan**  
**Part I: M/WBE Participation Goals****Part I to be completed by contracting agency****Contract Overview**

**APT E- Pin #** 85014P0016 **FMS Project ID#:** MED598B  
**Project Title/ Agency PIN #** REI Services for Installation of Trunk Water Mains in West 30<sup>th</sup> Street between 10<sup>th</sup> Ave and 9<sup>th</sup> Ave (Shaft 26B) Phase 2 – Borough of Manhattan/ 8502014WM0010P  
**Bid/Proposal Response Date** Tuesday, March 18, 2014  
**Contracting Agency** Department of Design and Construction  
**Agency Address** 30-30 Thomson Ave. **City** Long Island City **State** NY **Zip Code** 11101  
**Contact Person** Diana A. Benjamin **Title** MWBE Liaison & Compliance Analyst  
**Telephone #** (718) 391 - 3470 **Email:** [Benjamidi@ddc.nyc.gov](mailto:Benjamidi@ddc.nyc.gov)

**Project Description** (attach additional pages if necessary)

MED-598B REI Services for Installation of Trunk Water Mains in West 30<sup>th</sup> Street, between 10<sup>th</sup> Ave and 9<sup>th</sup> Ave. (Shaft 26B) Phase 2, Borough of Manhattan

**M/WBE Participation Goals for Services**

*Enter the percentage amount for each group or for an unspecified goal. Please note that there are no goals for Asian Americans in Professional Services.*

**Prime Contract Industry: Professional Contracts**

| Group                            | Percentage               |
|----------------------------------|--------------------------|
| <b>Unspecified*</b>              | <b>20%</b>               |
| or                               |                          |
| Black American                   | <b>UNSPECIFIED</b>       |
| Hispanic American                | <b>UNSPECIFIED</b>       |
| Asian American                   | <b>NO GOAL</b>           |
| Women                            | <b>UNSPECIFIED</b>       |
| <b>Total Participation Goals</b> | <b>20%</b> <b>Line 1</b> |

*\*Note: For this procurement, individual ethnicity and gender goals are not specified. The Total Participation Goal for professional service contracts may be met by using either Black-American, Hispanic-American, or Women certified firms or any combination of such firms.*

Tax ID # : \_\_\_\_\_

PIN # : \_\_\_\_\_

**SCHEDULE B - Part II: M/WBE Participation Plan**

**Part II to be completed by the bidder/proposer.**

Please note: For Non-M/WBE Prime Contractors who will NOT subcontract any services and will self-perform the entire contract, you must obtain a FULL waiver by completing the Waiver Application on pages 5 and 6 and timely submitting it to the contracting agency pursuant to the Notice to Prospective Contractors. Once a FULL WAIVER is granted, it must be included with your bid or proposal and you do not have to complete or submit this form with your bid or proposal.

|  |                       |
|--|-----------------------|
| <b>Section I: Prime Contractor Contact Information</b> |                       |
| Tax ID # _____   | FMS Vendor ID # _____ |
| Business Name _____                                    | Contact Person _____  |
| Address _____  |                       |
| Telephone # _____                                      | Email _____           |

|  |
|--|
| <b>Section II: M/WBE Utilization Goal Calculation: Check the applicable box and complete subsection.</b> |
|--|

| <b>PRIME CONTRACTOR ADOPTING AGENCY M/WBE PARTICIPATION GOALS</b>   |                                 |   |  |   |  |
|---|---------------------------------|---|--|---|--|
| <input type="checkbox"/> <b>For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Agency M/WBE Participation Goals.</b><br><br>Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.<br><br>Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation. | <b>Total Bid/Proposal Value</b> |   | <b>Agency Total Participation Goals (Line 1, Page 1)</b> |   | <b>Calculated M/WBE Participation Amount</b> |
|   | \$                              | X |  | = | \$<br>Line 2                                 |

| <b>PRIME CONTRACTOR OBTAINED PARTIAL WAIVER APPROVAL: ADOPTING MODIFIED M/WBE PARTICIPATION GOALS</b>   |                                 |   |  |   |  |
|---|---------------------------------|---|--|---|--|
| <input type="checkbox"/> <b>For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Modified M/WBE Participation Goals.</b><br><br>Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.<br><br>Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation. | <b>Total Bid/Proposal Value</b> |   | <b>Adjusted Participation Goal (From Partial Waiver)</b> |   | <b>Calculated M/WBE Participation Amount</b> |
|   | \$                              | X |  | = | \$<br>Line 3                                 |

Tax ID # : \_\_\_\_\_

PIN # : \_\_\_\_\_

**Section III: M/WBE Utilization Plan: How Proposer/Bidder Will Fulfill M/WBE Participation Goals. Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation. Check applicable box. The Proposer or Bidder will fulfill the M/WBE Participation Goals:**

As an M/WBE Prime Contractor that will self-perform and/or subcontract to other M/WBE firms a portion of the contract the value of which is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non-M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals. Please check all that apply to Prime Contractor:

MBE       WBE

As a Qualified Joint Venture with an M/WBE partner, in which the value of the M/WBE partner's participation and/or the value of any work subcontracted to other M/WBE firms is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals.

As a non M/WBE Prime Contractor that will enter into subcontracts with M/WBE firms the value of which is at least the amount located on Lines 2 or 3 above, as applicable.

**Section IV: General Contract Information**

What is the expected percentage of the total contract dollar value that you expect to award in subcontracts for services, regardless of M/WBE status? % \_\_\_\_\_

*Enter brief description of the type(s) and dollar value of subcontracts for all/any services you plan on subcontracting if awarded this contract. For each item, indicate whether the work is designated for participation by MBEs and/or WBEs and the time frame in which such work is scheduled to begin and end. Use additional sheets if necessary.*

✓ Scopes of Subcontract Work

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_
6. \_\_\_\_\_
7. \_\_\_\_\_
8. \_\_\_\_\_
9. \_\_\_\_\_
10. \_\_\_\_\_
11. \_\_\_\_\_
12. \_\_\_\_\_
13. \_\_\_\_\_
14. \_\_\_\_\_
15. \_\_\_\_\_
16. \_\_\_\_\_
17. \_\_\_\_\_

Tax ID # : \_\_\_\_\_

PIN # : \_\_\_\_\_

### **Section V: Vendor Certification and Required Affirmations**

*I hereby:*

- 1) acknowledge my understanding of the M/WBE participation requirements as set forth herein and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York (“Section 6-129”), and the rules promulgated thereunder;*
- 2) affirm that the information supplied in support of this M/WBE Utilization Plan is true and correct;*
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;*
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and*
- 5) agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.*

**Signature** \_\_\_\_\_

**Date** \_\_\_\_\_

**Print Name** \_\_\_\_\_

**Title** \_\_\_\_\_